



FILE COPY

RECEIPT

Patent Docket P2533C1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of David Botstein et al. Serial No.: 09/648,183 Filed: 25 August 2000 For: CARDIOTROPHIN-1 COMPOSITIONS AND METHODS FOR THE TREATMENT OF TUMOR	Group Art Unit: 1633 Examiner: E. Sorbello CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on June <u>5</u> , 2001 <i>Ann Savelli</i> Ann Savelli
---	---

REQUEST FOR A CORRECTED FILING RECEIPT

Assistant Commissioner of Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

Sir:

Attached is a copy of the Official Filing Receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested. Please make the correction as follows:

In the "Domestic Priority data as claimed by applicant" section please correct as follows:

Please correct line one as follows:

WHICH IS A CON OF 08/733,850 10/18/1996 ABN

Before the first line please insert:

THIS APPLICATION IS A CON OF 09/234,730 01/21/1999

WHICH IS A CON OF 09/033,114 03/02/1998

RECEIVED

JAN 23 2002

TECH CENTER 1600/2900

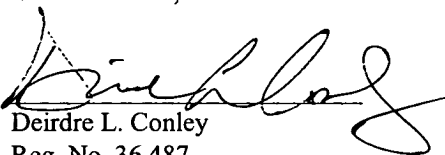
The correction is not due to any error by applicant and no fee is believed to be due. However, in the event that the Patent Office determines that fees are due in connection with the filing of this document, we hereby authorize the Commissioner to charge such fees to our Deposit Account No. 07-0630.

Respectfully submitted,

GENENTECH, INC.

Date: June 4, 2001

By:



Deirdre L. Conley

Reg. No. 36,487

Telephone No. (650) 225-2066



09157

PATENT TRADEMARK OFFICE



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/648,183 ✓	08/25/2000 ✓	1641	690	P2533C1	13	7	1

CONFIRMATION NO. 5740

CORRECTED FILING RECEIPT



OC000000006077243

Genentech Inc
Attn Deirde L Conley
1 DNA Way
South San Francisco, CA 94080-4990

RECEIVED

MAY 23 2001

GENENTECH, INC.
LEGAL DEPT.

Date Mailed: 05/15/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

David Botstein, Belmont, CA; ✓
Audrey Goddard, San Francisco, CA; ✓
David A. Lawrence, San Francisco, CA; ✓
Diane Pennica, Burlingame, CA; ✓
Margaret Ann Roy, San Francisco, CA; ✓
William I. Wood, Hillsborough, CA; ✓

RECEIVED
JAN 23 2002
TECH CENTER 1600/2900

Domestic Priority data as claimed by applicant

WHICH ~~THIS APPLICATION~~ IS A CON OF 08/733,850 10/18/1996 ABN ✓
WHICH IS A CON OF 08/443,129 05/17/1995 PAT 5,627,073 ✓
WHICH IS A DIV OF 08/286,304 08/05/1994 PAT 5,571,893 ✓
WHICH IS A CIP OF 08/233,609 04/25/1994 PAT 5,534,615 ✓
WHICH CLAIMS BENEFIT OF 60/113,296 12/22/1998 ✓

This application is a CON of 09/234,730 01/21/1999
which is a CON of 09/033,114 03/02/1998

Foreign Applications

If Required, Foreign Filing License Granted 09/29/2000

Projected Publication Date: N/A

Non-Publication Request: No

Early Publication Request: No

Title

Cardiotrophin-1 compositions and methods for the treatment of tumor

Preliminary Class

435

Data entry by : MEREDITH, WANDA

Team : 2100

Date: 05/15/2001



710



UNITED STATES PATENT AND TRADEMARK OFFICE

FILE COPY

 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov


Bib Data Sheet

CONFIRMATION NO. 5740

SERIAL NUMBER 09/648,183	FILING DATE 08/25/2000 RULE	CLASS 800	GROUP ART UNIT 1633	ATTORNEY DOCKET NO. P2533C1
------------------------------------	---	---------------------	-------------------------------	---------------------------------------

APPLICANTS

David Botstein, Belmont, CA;
 Audrey Goddard, San Francisco, CA;
 David A. Lawrence, San Francisco, CA;
 Diane Pennica, Burlingame, CA;
 Margaret Ann Roy, San Francisco, CA;
 William I. Wood, Hillsborough, CA;

**** CONTINUING DATA *******

THIS APPLICATION IS A CON OF 09/234,730 01/21/1999 ABN
 WHICH IS A CON OF 09/033,114 03/02/1998 ABN
 WHICH IS A CON OF 08/733,850 10/18/1996 ABN
 WHICH IS A CON OF 08/443,129 05/17/1995 PAT 5,627,073
 WHICH IS A DIV OF 08/286,304 08/05/1994 PAT 5,571,893
 WHICH IS A CIP OF 08/233,609 04/25/1994 PAT 5,534,615
 WHICH CLAIMS BENEFIT OF 60/113,296 12/22/1998

**** FOREIGN APPLICATIONS *********IF REQUIRED, FOREIGN FILING LICENSE GRANTED****** 09/29/2000**

Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no	STATE OR COUNTRY CA	SHEETS DRAWING 13	TOTAL CLAIMS 7	INDEPENDENT CLAIMS 1
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged Examiner's Signature: <i>[Signature]</i> Initials: <i>[Initials]</i>				

ADDRESS

Genentech Inc
 Attn Deirde L Conley
 1 DNA Way
 South San Francisco, CA 94080-4990

TITLE

Cardiotrophin-1 compositions and methods for the treatment of tumor

FILING FEE RECEIVED 690	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
		<input type="checkbox"/> 1.16 Fees (Filing)
		<input type="checkbox"/> 1.17 Fees (Processing Ext. of time)
		<input type="checkbox"/> 1.18 Fees (Issue)

☐ Other _____

☐ Credit

RECEIVED

JAN 23 2002

TECH CENTER 1600/2900